

Dealing tool user guide

Introduction

The buy and sell tool is designed to make investing and disinvesting across your clients' accounts easier. You are able to:

- Place individual or multiple buys or sells on individual client accounts with a single instruction.
- Proportionately sell down from an individual client account with a single instruction.
- Make a one-off investment into a model portfolio within an individual client account.

Deals are charged at £3.95 for single or multiple instructions. Deals place via our proportionate disinvestment and one-off investment into a model tools are free of charge.

Please note: only assets which are able to be dealt online are displayed on this tool; to place a deal on an asset that is not shown, please contact our Dealing Services Team on 0345 83 99 060.

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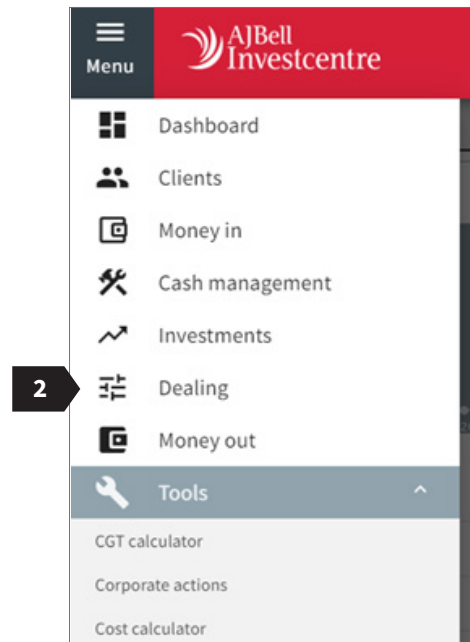
Accessing the dashboard

You can find the bulk dealing tool via the main menu: select 'Dealing' and then 'Buy and sell'.

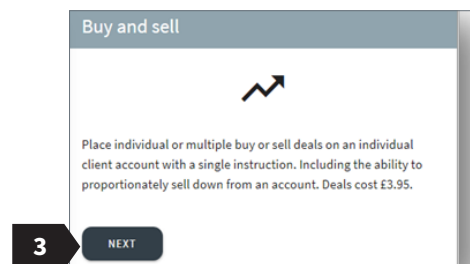
- 1 The main menu can be accessed via any page within our V2.0 site – just look for the icon at the top left of your screen.



- 2 Select 'Dealing'.



- 3 Select 'NEXT' within 'Buy and sell'.



Select a client and view active orders

- 1 Filter by client's wrapper type.
- 2 Search for a client using either their client reference or name.
- 3 View or hide the client's wrappers.
- 4 Select the wrapper in which you wish to place the deals.
- 5 View active orders at client level.

Dealing BUY AND SELL

Buy and sell Filter product **1**

2 Search clients

Client name	Client reference					
						VIEW ACCOUNTS ▾
						HIDE ACCOUNTS ▲ 3
SIPP - cash		£11,206.93	-	-	£11,206.93	MOVE CASH
SIPP - Funds & Shares Service		£4,418,535.10	£4,250,946.15	£487,218.18	£4,905,753.28	SELECT 4
ISA		£764,536.87	£757,218.12	£76,625.22	£841,162.09	SELECT
Active orders: 55						VIEW ACTIVE ORDERS
						VIEW ACCOUNTS ▾ 5
						VIEW ACCOUNTS ▾
						VIEW ACCOUNTS ▾

The active orders module allows you to:

- 6 Filter by wrapper.
- 7 Search by asset.
- 8 Sort the list using any of the headers shown.

Filter **6** Search list **7**

Investment	Product	SEDOL	Type	Quantity	Amount	Price	Date entered	Expiry date	Status	Reference
Vanguard LifeStrategy 60% Equity A Acc	ISA	B3TYHH9	Buy	0.00	18.95	-	28/05/2020	-	Cancel Pending	44620C2WJ05
Vanguard LifeStrategy 60% Equity A Acc	ISA	B3TYHH9	Buy	0.00	18.95	-	28/05/2020	-	In progress	44620C2WJ07

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Cash movements

The 'MOVE CASH' option is shown alongside the client's SIPP cash account, allowing you to move cash between the client's SIPP cash account and the SIPP Funds & Shares Service cash account.

- 1** This shows the amount of cash which is available to be moved from the account you have selected.
- 2** You will receive a confirmation page, allowing you to review your instruction.
- 3** When funds are moved to the Funds & Shares Service, they are immediately available to invest.

The screenshot displays the 'MOVE CASH' interface in three stages:

- Step 1 (Submit):** Shows the 'SIPP - cash' account with a balance of £3.91. The 'MOVE CASH' option is visible. The modal window shows 'Movement from: Cash' and 'Movement to: Funds & Shares Service Cash'. The amount is set to 0, and the available cash is £1,375.92. A 'CONTINUE' button is present.
- Step 2 (Confirm):** The modal window displays a confirmation message: 'The cash movement is summarised below. If it is correct, please click 'Confirm'. If you would like to change it, please close the modal.' The summary shows: 'Movement from: Cash', 'Movement to: Funds & Shares Service Cash', and 'Amount: £100.00'. A 'CONFIRM' button is present.
- Step 3 (Processing):** The modal window displays a thank you message: 'Thank you for your instruction. If your movement is to our Funds & Shares Service, these funds will be available to invest immediately.' A 'CLOSE' button is present.

Portfolio overview

After selecting the account you wish to place a deal on, you will be presented with a 'Portfolio overview' screen, which allows you to:

- 1 search for a specified investment by name, SEDOL or ISIN;
- 2 sort the list using any of the headers shown;
- 3 select all investments;
- 4 select individual investments;
- 5 view a count of the number of assets in the order; and
- 6 move to the next screen.

Please note: only assets which are able to be dealt online are displayed on this screen. To place a deal on an asset that is not shown, please contact our Dealing Services Team on 0345 83 99 060.

The screenshot shows the 'Dealing' interface with the 'BUY AND SELL' tab selected. The page title is 'Dealing' and the sub-header is 'BUY AND SELL'. Below this, there is a 'Buy and sell' section with a back arrow, a blurred account name, and a 'NEXT' button. The account name is 'SIPP - Funds & Shares Service - [blurred]' and the available cash is '£4,250,946.15'. A search bar is present with the placeholder text 'Search investment name, SEDOL or ISIN'. Below the search bar is a table of investments with columns: Investment name, SEDOL, Quantity, Price, Value (£), Cost (£), Change (£), Change (%), and an 'Add all' checkbox. The table contains six rows of investment data. Callouts 1-6 are placed on the interface: 1 points to the search bar, 2 points to the table header, 3 points to the 'Add all' checkbox, 4 points to the 'ADD TO ORDER' button for the 'JUPITER UT MNGRS MERLIN BALNCED PFOLIO I ACC' row, 5 points to the 'Assets in order' text, and 6 points to the 'NEXT' button. A 'Feedback' button is visible on the right side of the interface.

Investment name	SEDOL	Quantity	Price	Value (£)	Cost (£)	Change (£)	Change (%)	<input type="checkbox"/> Add all
BAILLIE GIFFORD MANAGED B NAV ACC	0601016	5,008.728	13.32 GBP	£66,716.26	£51,616.59	£15,099.67	29.25%	<input type="checkbox"/> REMOVE
FUNDSMITH EQUITY FUND I ACC	B41YBW7	7,273.15	5.0453 GBP	£36,695.22	£30,088.17	£6,607.05	21.96%	<input type="checkbox"/> REMOVE
JUPITER UT MNGRS MERLIN BALNCED PFOLIO I ACC	B4351D9	39,580.76	2.1098 GBP	£83,507.49	£77,390.49	£6,117.00	7.9%	<input type="checkbox"/> ADD TO ORDER
L & G INT INDEX TRUST I ACC	B2Q6HW6	37,483.243	1.602 GBP	£60,048.16	£51,564.00	£8,484.16	16.45%	<input type="checkbox"/> ADD TO ORDER
M&G SECURITIES LTD OPTIMAL INC STERLING I ACC	B1H0571	17,780.369	2.2394 GBP	£39,817.36	£38,670.03	£1,147.33	2.97%	<input type="checkbox"/> ADD TO ORDER
PREMIER PORTFOLIO MULTI AST GWTH INC C ACC	BTHH0C8	43,519.156	1.7653 GBP	£76,824.37	£77,340.00	£-515.63	-0.67%	<input type="checkbox"/> ADD TO ORDER

Portfolio overview

After you have selected which asset you want to place a deal on you have the option to:

- 1 edit your selection; or
- 2 move onto the buy or sell screen for the chosen investments.

The screenshot shows the 'Dealing' interface with a 'BUY AND SELL' tab. The main heading is 'Buy and sell'. Below this, there is a navigation bar with three steps: 1 (EDIT), 2 (BUY SCREEN), and 2 (SELL SCREEN). A green circle with the number 2 is next to the text 'Assets in order'. Below this, the selected asset is 'SIPP - Funds & Shares Service -' with 'Available cash: £4,250,946.15'. There are 'EDIT', 'BUY SCREEN', and 'SELL SCREEN' buttons. A small information icon with the text 'You will complete the deal instruction on the next screen' is also present.

Investment name	Quantity	Price	Value (£)	Cost (£)	Change (£)	Change (%)
BAILLIE GIFFORD MANAGED B NAV ACC	5,008.728	13.32 GBP	£66,716.26	£51,616.59	£15,099.67	29.25%
FUNDSMITH EQUITY FUND I ACC	7,273.15	5.0453 GBP	£36,695.22	£30,088.17	£6,607.05	21.96%

Where prices are shown on this page UK listed share prices will be 15 minutes delayed.
Non-UK listed shares, funds and other investment types use the latest available price, which is typically the price at the close of the previous business day.

Buy screen – individual assets

Only whole units of exchange-traded investments can be traded, therefore the value traded may be different from your request.

- 1 The buy type.
- 2 The order type.
- 3 Select whether you would like to apply adviser charging to the deal.
- 4 If 'Yes', select the charging type.
- 5 Input the value of the deal.
- 6 Input the value or percentage of the adviser charge.
- 7 Select whether to include dealing charges in the deal value.
- 8 Access KIIDS and KIDS.
- 9 Confirm that the investor has been provided with the current version of the KIID/KID and that they have had sufficient time to read and understand the associated risks, or that you are acting on a discretionary basis.
- 10 Receive quote.

SIPP - F&SS
✕

Buy

Available cash £11,762.51

Buy type
Value (£) 1

Order type
At best 2

3 Add adviser charging: Yes No 4

Adviser charging type: Amount Percentage

Investment name	SEDOL	Offer price	Initial charge	Holding (value)	Value	Adviser charge
DIMENSIONAL FUNDS WORLD EQUITY GBP DIS	B5SRBK4	20.59 GBP	0.00%	£121,290.05	£500 5	£20 6

UK equity prices are 15 minutes delayed.
Other prices are latest available.

7 Include dealing charges in order total: Yes No

Total dealing charge: £3.95

9 I confirm that the investor has been provided with the current version of the KIID/KID for this fund, and that they have had sufficient time to read and understand the key risks associated with the investment, or, if not, that I am acting on a discretionary authority in placing this trade. 8

ACCESS KIIDS AND SIDS

QUOTE 10

Sell screen – individual assets

Only whole units of exchange-traded investments can be traded, therefore the value traded may be different from your request.

- 1 The sell type.
- 2 The order type.
- 3 Input the value.
- 4 Select whether to include dealing charges in the order total.
- 5 Total dealing charges.
- 6 Receive quote.

SIPP - F&SS

Sell Available cash £11,762.51

Sell type
Value (£) 1

Order type
At best 2

Investment name	SEDOL	Bid price	Holding (value)	Value
DIMENSIONAL FUNDS WORLD EQUITY GBP DIS	B5SRBK4	20.59 GBP	£121,290.05	£0 3

UK equity prices are 15 minutes delayed.
Other prices are latest available.

Include dealing charges in order total: Yes No 4

Total dealing charge: £0.00 5

6 QUOTE

Quote – buy and sell individual assets

The 'Quote' screen provides an overview of the unit price of each deal alongside any associated charges.

- 1 Sort the list using any of the headers shown.
- 2 The name of the investment.
- 3 The offer price (per unit/share).
- 4 The initial charge applied by the fund manager.
- 5 Current holding value.
- 6 Deal value.
- 7 Adviser charge.
- 8 Total dealing charges.
- 9 Total deal value (consideration, adviser charges and dealing charges).
- 10 To accept the quote, press confirm; please note, by pressing confirm the deal will be placed.

SIPP - F&SS
✕

Buy

Buy type
Value

Order type
At Best

Available cash £11,762.51

1 Investment name	2 SEDOL	3 Offer price	4 Initial charge	5 Holding (value)	6 Value	7 Adviser charge
2 DIMENSIONAL FUNDS WORLD EQUITY GBP DIS	B5SRBK4	20.41 GBP	0.00%	£121,290.05	£476.05	£20.00

Include dealing charges: Yes

Total dealing charge: £3.95 **8**

Total: £500.00 **9**

EDIT
CONFIRM **10**

Buy screen – multiple assets

Only whole units of exchange-traded investments can be traded, therefore the value traded may be different to your request.

- 1 The buy type.
- 2 Select whether you would like to apply adviser charging to the deals.
- 3 If 'Yes', select the charging type.
- 4 Input the value.
- 5 Input the value or percentage of the adviser charge.
- 6 As only whole units of exchange-listed investments can be traded, a warning is displayed.
- 7 Trades on exchange-listed investments will be stored and placed at the opening price when the market reopens.
- 8 Select whether to include dealing charges in the order total.
- 9 Access KIIDS and KIDS.
- 10 Confirm that the client has been provided with the current version of the KIID/KID for the funds.
- 11 Review the instruction.

SIPP - F&SS
✕

Buy

Buy type

Value (£) 1

Available cash £12,157.51

3 / 3

Assets with amount

2 Add adviser charging: Yes No

Adviser charging type: Amount Percentage 3

Investment name	SEDOL	Offer price	Initial charge	Holding (value)	Value	Adviser charge
DIMENSIONAL FUNDS WORLD EQUITY GBP DIS	B5SRBK4	20.45 GBP	0.00%	£120,465.35	£500 4	2.5 % 5
H S B C UK FTSE 250 C ACC	B80QG05	2.44 GBP	0.00%	£44,719.42	£250	2.5 %
🕒 VANGUARD FUNDS PLC S&P 500 UCITS ETF USD DIS	B7NLLS3	45.6875 GBP	-	£105,995.00	£93.59 !	% 6
Total					£843.59	£21.09

UK equity prices are 15 minutes delayed.
Other prices are latest available.

7 🕒 Investment(s) listed above trade on markets that are currently closed. Trades will be placed at the opening price when the market(s) reopen, unless you remove the asset(s).

Include dealing charges in order total: Yes No 8

Total dealing charge: **£11.85**

10 I confirm that the investor has been provided with the current version of the KIID/KID for this fund, and that they have had sufficient time to read and understand the key risks associated with the investment, or, if not, that I am acting on a discretionary authority in placing this trade.

ACCESS KIIDS AND SIDS 9

11 REVIEW

There are errors within the asset table.

Sell screen – multiple assets

The 'buy' and 'sell' screens allow you to place a deal on either all of or some of the assets you have selected.

- 1 The sell type.
- 2 Input the value.
- 3 As only whole units of exchange listed investments can be traded, a warning is displayed.
- 4 Select whether to include dealing charges in the order total.
- 5 Review the instruction.

SIPP - F&SS

Sell

Available cash £11,762.51

Sell type
Value (£) **1**

3 / 3 Assets with amount

Investment name	SEDOL	Bid price	Holding (value)	Value
DIMENSIONAL FUNDS WORLD EQUITY GBP DIS	B5SRBK4	20.59 GBP	£121,290.05	£500 2
H S B C UK FTSE 250 C ACC	B80QG05	2.47 GBP	£45,269.25	£250
VANGUARD FUNDS PLC S&P 500 UCITS ETF USD DIS	B7NLLS3	46.8775 GBP	£109,271.45	£93.59 3
Total				£843.59

UK equity prices are 15 minutes delayed.
Other prices are latest available.

Include dealing charges in order total: Yes No **4**

Total dealing charge: £11.85

REVIEW **5**

Making a one-off investment into a model portfolio

If your client is linked to a model portfolio you are able to invest a specific cash amount in line with the model portfolio's asset allocation.

1 The model portfolio the client's account is linked to will be displayed here.

2 To place a one-off investment into a model select 'one off investment into a model' button.

Please note: Each order is validated after the trade is submitted. Please check that the assets within the model portfolio can be traded prior to submitting your final instruction.

The screenshot shows the 'Dealing' interface in the AJ Bell Investcentre system. At the top, there's a 'BUY AND SELL' tab. Below it, the 'Buy and sell' section shows the client's account details, including 'GIA - [redacted]' and 'Available cash: £31,453.74'. A 'Linked model Balanced Portfolio' is listed, with a red arrow labeled '1' pointing to it. A 'One-off investment into model' button is highlighted with a red arrow labeled '2'. Below this, there's a search bar and a table of investment options. The table has columns for 'Investment name', 'SEDOL', 'Quantity', 'Price', 'Value (£)', 'Cost (£)', 'Change (£)', and 'Change (%)'. Each row includes an 'Add all' button. At the bottom, there's a 'Feedback' button.

Investment name	SEDOL	Quantity	Price	Value (£)	Cost (£)	Change (£)	Change (%)	Add all
ARTEMIS INV FDS IC ARTEMIS US SELECT I GBP ACC	BMMV510	2,332.7015	2.7706 GBP	£6,462.98	£6,400.00	£62.98	0.01%	ADD TO ORDER
CAPITAL INTERNL FD CAP GP GBL BD Z GBP ACC	B9NSR06	600.7009	16.14 GBP	£9,696.12	£9,600.00	£96.12	0.01%	ADD TO ORDER
INVESCO FXD INT INVESCO HIGH YIELD UK Z ACC	B8N4038	3,968.2539	2.9908 GBP	£11,868.25	£12,000.00	£-131.75	-0.01%	ADD TO ORDER
ISHARES CORE UK GILTS E F F	B1G52Y3	524.00	10.355 GBP	£5,426.02	£5,595.39	£-169.37	-0.03%	ADD TO ORDER
JPMORGAN FD II ICV JPM UK EQUITY PLS C NET ACC	BW4Q981	7,021.65	1.676 GBP	£11,768.29	£12,000.00	£-231.71	-0.02%	ADD TO ORDER
JUPITER JPN INC FD JUPITER JAPAN INCOME I ACC	B5T0844	4,245.15	1.520 GBP	£6,450.83	£6,399.99	£50.84	0.01%	ADD TO ORDER
JUPITER UK SPL SIT JUPITER UK SPEC SITS I GBP	B4KL9F8	2,596.00	3.0113 GBP	£7,817.33	£7,997.24	£-179.91	-0.02%	ADD TO ORDER
LAZARD INVTHRT FDS LAZARD EMG MKTS A GBP ACC	B24F1G7	1,489.3617	3.781 GBP	£5,631.28	£5,604.47	£26.81	0%	ADD TO ORDER
SAINSBURY ORD GBP0.28571428	B019K97	1,014.00	2.671 GBP	£2,708.39	£2,546.73	£161.66	0.06%	ADD TO ORDER

Important notes about making an one-off investment into a model portfolio

You are about to make a one-off investment in line with the asset allocation of the model portfolio linked to your client's account. This includes any cash assigned to the model.

Each instruction is validated after the order is submitted, so please review your assets before making a final submission.

Remember that the cash balance figure includes any cash currently invested in the model portfolio. To avoid the portfolio being 'underweight' on cash, make sure you instruct us to invest only the new money that makes up this one-off investment.

OK, I UNDERSTAND

Buy screen – one-off investment into a model portfolio

- 1 Enter the amount of cash that you wish to be invested into the model.
- 2 Select 'Allocate cash across model' to calculate the deal values for each asset.
- 3 The number of assets that have received an allocation will be displayed here (this also includes any cash allocated within the model).
- 4 Any cash allocation contained within the model portfolio will also be included within the 'Amount to invest' instruction.

As is shown in the screenshot, a £500 one-off investment into a model portfolio which has a 2% cash allocation, would leave £10 in cash and target £490 towards the model assets
- 5 The asset allocation of the model is displayed in this column.
- 6 The deal value for each asset is displayed in this column.
- 7 Review any warning messages. For example, if exchange traded instruments are held, a warning which explains that the amount dealt may be different to the target deal value will show. In this scenario you will be able to amend the deal value.
- 8 Once you are happy with the instruction, you are able to progress through to the review screen.

The screenshot displays the 'One-off investment into the model' interface. At the top, the 'Amount to invest' is set to £500, and the 'ALLOCATE ACROSS MODEL' button is selected. A progress indicator shows '12 / 12 Assets with an allocation'. Below this is a table of assets with columns for Investment name, SEDOL, Offer price, Initial charge, Target % of model, and Value. A 'Total' row at the bottom of the table shows a value of £500.00. A warning message at the bottom states 'UK equity prices are 15 minutes delayed. Other prices are latest available.' and 'Total dealing charge: £0.00'. A 'REVIEW' button is located at the bottom left, and a red message at the bottom right says 'The table above displays one or more warnings. Please review these before moving onto the next step.'

Investment name	SEDOL	Offer price	Initial charge	Target % of model	Value
Cash	-	-	-	2.00%	£10
Lazard Emerging Markets A Acc	B24F1G7	3.78 GBP	0.00%	7.00%	£35
Jupiter UK Special Situations I Acc	B4KL9F8	301.13 GBX	0.00%		£50
Dodge & Cox Worldwide US Stock A GBP	B50M4X1	46.45 GBP	0.00%	7.00%	£35
Jupiter Japan Income I Acc	B5TGB44	152.9 GBX	0.00%	8.00%	£40
iShares Corporate Bond Index (UK) D Acc	B84DSW8	146.75 GBX	0.00%	7.00%	£35
Capital Group Glob Bond (LUX) Z GBP	B9N5RD6	15.98 GBP	0.00%	12.00%	£60
Fidelity Index US P Acc	BJS8SH1	337.23 GBX	-	9.00%	£45
Vanguard FTSE Dev Eurp ex UK ETF Dis GBP	BKXH1S2	29.625 GBP	-	5.00%	£25
Artemis US Select I Acc GBP	BMMV510	2.77 GBP	0.00%	8.00%	£40
Fidelity Index UK P Inc	BP8RY61	116.52 GBX	-	10.00%	£50
JPM UK Equity Plus C Net Acc	BW4Q9B1	1.68 GBP	0.00%	15.00%	£75
Total					£500.00

Quote screen

- 1 Please review your deals for the final time.
- 2 Press submit to place your deals.
- 3 Otherwise, to go back to amend the instruction press the 'EDIT' button.

F&SS

One-off investment into the model

Amount to invest
£500

Investment name	SEDOL	Offer price	Initial charge	Target % of model	Value
Cash	-	-	-	2.00%	£10.00
Lazard Emerging Markets A Acc	B24F1G7	3.78 GBP	0.00%	7.00%	£35.00
Jupiter UK Special Situations I Acc	B4KL9F8	301.13 GBX	0.00%	10.00%	£50.00
Dodge & Cox Worldwide US Stock A GBP	B50M4X1	46.45 GBP	0.00%	7.00%	£35.00
Jupiter Japan Income I Acc	B5TGB44	152.9 GBX	0.00%	8.00%	£40.00
iShares Corporate Bond Index (UK) D Acc	B84DSW8	146.75 GBX	0.00%	7.00%	£35.00
Capital Group Glob Bond (LUX) Z GBP	B9NSRD6	15.98 GBP	0.00%	12.00%	£60.00
Fidelity Index US P Acc	BJS6SH1	337.23 GBX	-	9.00%	£45.00
Vanguard FTSE Dev Eurp ex UK ETF Dis GBP	BKXH1S2	29.625 GBP	-	5.00%	£25.00
Artemis US Select I Acc GBP	BMMV510	2.77 GBP	0.00%	8.00%	£40.00
Fidelity Index UK P Inc	BP8RY61	116.52 GBX	-	10.00%	£50.00
JPM UK Equity Plus C Net Acc	BW4Q9B1	1.68 GBP	0.00%	15.00%	£75.00
Total					£500.00

Include dealing charges: No
Total dealing charge: £0.00

Deal status

- 1 Once the instruction has been processed a green tick will appear for the deals which have successfully been submitted.
- 2 Any unsuccessful deals will be marked in red along with an explanation.
- 3 You are able to print a receipt for your records if you wish to.
- 4 You can view your client's active orders here.
- 5 Return to the dealing dashboard.

F&SS

One-off investment into the model

Amount to invest: £ 500.00 Estimated total deal value: £ 475.00 11 / 12 Assets submitted 1 error

Investment name	SEDOL	Requested value	Executed value	Status
Dodge & Cox Worldwide US Stock A GBP	B50M4X1	£35.00	£35.00	Submitted
Jupiter Japan Income I Acc	B5TGB44	£40.00	£40.00	Submitted
iShares Corporate Bond Index (UK) D Acc	B84DSW8	£35.00	£35.00	Submitted
Capital Group Glob Bond (LUX) Z GBP	B9NSRD6	£60.00	£60.00	Submitted
Fidelity Index US P Acc	BJS85H1	£45.00	£45.00	Submitted
Vanguard FTSE Dev Eurp ex UK ETF Dis GBP	BKXH1S2	£25.00	£0.00	Calculated quantity for raise/invest is less than zero
Artemis US Select I Acc GBP	BMMVS10	£40.00	£40.00	Submitted
Fidelity Index UK P Inc	BP8RYE1	£50.00	£50.00	Submitted
JPM UK Equity Plus C Net Acc	BW4Q9B1	£75.00	£75.00	Submitted

3 PRINT RECEIPT 4 ACTIVE ORDERS 5 DEALING HOME

Sell screen – proportionate disinvestment

To make a proportionate disinvestment, you will need to select all the assets in your client's portfolio by ticking the 'add all' button in the 'Portfolio overview' screen.

Then, once on the sell screen:

- 1 select proportionate sell type;
- 2 type the amount you wish to raise in pounds;
- 3 calculate the value across the assets;
- 4 as only whole units of exchange-listed investments can be traded, a warning is displayed stating that the value traded may be different from your request;
- 5 review the instruction.

Please note: you are not able to place deals which are less than £1 in value. If the proportionate amount for one investment is less than £1, please change this to £0 to proceed.

SIPP - F&SS

Sell

Available cash £11,762.51

Sell type: Proportionate **1**

Raise: £500 **2** **3**

3 / 3 Assets with amount

Investment name	SEDOL	Bid price	Holding (value)	Value
DIMENSIONAL FUNDS WORLD EQUITY GBP DIS	B5SRBK4	20.59 GBP	£121,290.05	£219.91
H S B C UK FTSE 250 C ACC	B80QG05	2.47 GBP	£45,269.25	£82.08
VANGUARD FUNDS PLC S&P 500 UCITS ETF USD DIS	B7NLLS3	46.8525 GBP	£109,213.18	£198.02 4
Total				£500.01

UK equity prices are 15 minutes delayed.
Other prices are latest available.

Total dealing charge: £0.00

5

Quote – multiple assets

The 'Quote' screen provides an overview of the unit price of each deal alongside any associated charges.

- 1 To accept the quote, press submit – please note that instructions cannot be amended after this.

SIPP - F&SS

Buy Available cash £11,762.51

Buy type
Value

Investment name	SEDOL	Offer price	Initial charge	Holding (value)	Value
DIMENSIONAL FUNDS WORLD EQUITY GBP DIS	B5SRBK4	20.59 GBP	0.00%	£121,290.05	£500.00
H S B C UK FTSE 250 C ACC	B80QG05	2.47 GBP	0.00%	£45,269.25	£250.00
VANGUARD FUNDS PLC S&P 500 UCITS ETF USD DIS	B7NLLS3	46.795 GBP	-	£109,079.15	! £93.59
Total					£843.59

Include dealing charges: No
Total dealing charge: £11.85

EDIT SUBMIT **1**

Deal status

- 1 The status column will show how the deals are progressing, as well as showing details of any deals which have failed.
- 2 Once submitted, you are able to print a receipt for your records; secure messages will be provided when the deals contract and will automatically be posted to the client's accounts as usual.
- 3 View the client's active orders.
- 4 Set up a new order for the client.
- 5 Return to the dealing dashboard.

SIPP - F&SS

Sell

Sell type: Proportionate | Raise amount: £ 1,000.00 | Estimated total deal value: £ 872.02 | 11 / 11 Assets submitted | 1 error

Investment name	SEDOL	Requested value	Executed value	Status
INVESCO FD MNGRS INVESCO GBL EMG MKTS UK Y	BJ04FP4	£70.54	£70.54	Submitted
JPMORGAN AM UK LTD GBL MACRO OPPTS C NET ACC	B4WKYF8	£85.24	£85.24	Submitted
L & G INT INDEX TRUST I ACC	B2Q6HW6	£148.10	£148.10	Submitted
MARLBOROUGH FD MGR UK MULTI CAP GROWTH P INC	B8CQP95	£75.57	£75.57	Submitted
MONKS INV TRUST ORD GBP0.05	3051726	£130.67	£130.67	Submitted
PREMIER PORTFOLIO MULTI AST GWTH INC C ACC	BTHH0C8	£113.49	£113.49	Submitted
THISIS UT MGMT LTD TM NEW COURT A 2011 GBP DIS	B74XT17	£117.19	£0.00	You do not have enough units available for sale, please re-enter your order or double check the security you are trying to sell.
VANGUARD INV UK LT UK INFLT LKD GILT IDX GBP	B45Q903	£62.46	£62.46	Submitted

PRINT RECEIPT | ACTIVE ORDERS | SETUP A NEW ORDER | DEALING HOME